

## PHY 610 QFT, Spring 2017

### HW1 Solutions

1. Note that the defining equation  $\eta_{\mu\nu} = \eta_{\rho\sigma} \Lambda_{\mu}^{\rho} \Lambda_{\nu}^{\sigma}$  for a Lorentz transformation  $\Lambda \in O(1,3)$  means the columns of  $\Lambda$  (and rows too, since  $\Lambda^T$  is also a Lorentz transformation) are orthonormal, with  $\Lambda_{\mu}^0$  timelike and  $\Lambda_{\mu}^i$  spacelike. In particular,  $(\Lambda_0^0)^2 - \Lambda_i^0 \Lambda_i^0 = 1$  (which is one way to see that  $|\Lambda_0^0| \geq 1$ ).

Now suppose  $\Lambda$  is orthochronous,  $\Lambda_0^0 \geq 1$ , and let  $v^{\mu}$  be a forward timelike or null vector, ie.  $(v^0)^2 \geq (v^i)^2$  with  $v^0 > 0$ . Then, using the Cauchy-Schwarz inequality,

$$(\Lambda v)^0 = \Lambda_0^0 v^0 + \Lambda_i^0 v^i \geq \Lambda_0^0 v^0 - \sqrt{(\Lambda_i^0)^2} \sqrt{(v^i)^2} \geq \Lambda_0^0 v^0 - v^0 \sqrt{(\Lambda_0^0)^2 - 1} = v^0 (\Lambda_0^0 - \sqrt{(\Lambda_0^0)^2 - 1}) > 0,$$

so  $\Lambda v$  is still a forward pointing vector. In particular, since  $\tilde{\Lambda}_0^{\mu}$  is a forward timelike vector for orthochronous  $\tilde{\Lambda}$ , this shows that  $(\Lambda \tilde{\Lambda})_0^0 > 0$ , and by the above observation, it must in fact be at least 1, so  $\Lambda \tilde{\Lambda}$  is once again orthochronous.

Now suppose  $v^{\mu}$  is a backward pointing spacelike vector,  $(v^0)^2 < (v^i)^2$  and  $v^0 < 0$ . We shall show that there exists Lorentz transformations which can flip the temporal direction of  $v$ . Choose  $\hat{\Lambda} \in SO(1,3)^+$  such that its first row  $\hat{\Lambda}_{\mu}^0$  has its spatial components parallel to  $v$ , which is to say,  $\hat{\Lambda}_j^0 \delta^{ij} = \lambda v^i$ , for some positive  $\lambda$ . Orthonormality requires that  $\hat{\Lambda}_0^0 = \sqrt{1 + \lambda^2 (v^i)^2}$ . Then

$$(\hat{\Lambda} v)^0 = \hat{\Lambda}_0^0 v^0 + \hat{\Lambda}_i^0 v^i = v^0 \sqrt{1 + \lambda^2 (v^i)^2} + \lambda (v^i)^2.$$

Now, at small values of  $\lambda$ , this is approximately  $v^0$ , which is negative. Since  $\sqrt{(v^i)^2} + v^0 > 0$ , at large  $\lambda$  becomes positive. Hence there is some value of  $\lambda_0$  where  $(\hat{\Lambda} v)^0 = 0$ , and for any  $\lambda > \lambda_0$ ,  $(\hat{\Lambda} v)^0 > 0$ . Note that we did not have to demand that any of these Lorentz transformations were proper — just orthochronous.

2. (a) The equations of motion are

$$\pi_n = \frac{\partial H}{\partial \dot{\pi}_n} = \dot{\varphi}_n,$$

$$\ddot{\varphi}_n = \dot{\pi}_n = -\frac{\partial H}{\partial \varphi_n} = -(\varphi_n - \varphi_{n-1}) - (\varphi_n - \varphi_{n+1}) - m^2 \varphi_n.$$

Equating the mode expansions of the left and right hand sides of the second equation yields the dispersion relation

$$\omega_k^2 = 2 + m^2 - e^{ik} - e^{-ik} = 2(1 - \cos k) + m^2.$$

(Notice that  $\omega_k$  depends only on  $|k|$ , as before.)

- (b) Since the positions of the atoms are discrete, the momentum is a periodic function. A more quantitative way of seeing this is as follows: the position  $n$  takes integer values, so  $e^{i(-\omega_k t + kn)} = e^{i(-\omega_k + 2\pi t + (k + 2\pi m)n)}$  for any integer  $m$ , so  $k$  and  $k + 2\pi m$  describe the same configuration. The interval  $[-\pi, \pi]$  in which  $k$  takes its values is known as the Brillouin zone.

(c) First, invert the Fourier expansion,

$$\begin{aligned}\sum_n \varphi_n e^{ink} &= \int \frac{dk'}{(2\pi)2\omega_{k'}} \sum_n (a_k e^{i(-\omega_{k'}t+(k+k')n)} + a_k^\dagger e^{-i(-\omega_{k'}t+(k'-k)n)}) \\ &= \frac{1}{2\omega_k} (a_{-k} e^{-i\omega_k t} + a_k^\dagger e^{i\omega_k t}),\end{aligned}$$

$$\sum_n \pi_n e^{ink} = \sum_n \dot{\varphi}_n e^{ink} = \frac{i}{2} (-a_{-k} e^{-i\omega_k t} + a_k^\dagger e^{i\omega_k t}),$$

where we have used that  $\sum_n e^{ink} = (2\pi)\delta(k)$ . Thus

$$a_k = \sum_n (\omega_k \varphi_n + i\pi_n) e^{-(ikn-i\omega_k t)}, \quad a_k^\dagger = \sum_n (\omega_k \varphi_n - i\pi_n) e^{ikn-i\omega_k t}.$$

It is then straightforward to show that  $[a_k, a_{k'}] = [a_k^\dagger, a_{k'}^\dagger] = 0$  and  $[a_k, a_{k'}^\dagger] = (2\pi)(2\omega_k)\delta(k-k')$ .

(d) We are to substitute the mode expansion of  $\varphi_n$  and  $\pi_n = \dot{\varphi}_n$  into the hamiltonian. This gives us an “ $\omega$  and  $m$  part” and “trig function part”:

$$\begin{aligned}H &= \frac{1}{2} \int_{-\pi}^{\pi} \frac{dk}{(2\pi)2\omega_k^2} \int_{-\pi}^{\pi} \frac{dk'}{(2\pi)2\omega_{k'}^2} \sum_n (\omega_k \omega_{k'} + m^2) \\ &\quad \left( a_k e^{-i\omega_k t + ikn} - a_k^\dagger e^{i\omega_k t - ikn} \right) \left( a_{k'} e^{-i\omega_{k'} t + ik'n} - a_{k'}^\dagger e^{i\omega_{k'} t - ik'n} \right) \\ &\quad + \left( a_k e^{-i\omega_k t + ikn} (1 - e^{-ik}) + a_k^\dagger e^{i\omega_k t - ikn} (1 - e^{ik}) \right) \\ &\quad \quad \quad \left( a_{k'} e^{-i\omega_{k'} t + ik'n} (1 - e^{-ik'}) + a_{k'}^\dagger e^{i\omega_{k'} t - ik'n} (1 - e^{ik'}) \right)\end{aligned}$$

Expanding this, we get exponents where  $n$  appears beside either  $k-k'$  or  $k+k'$ . These naturally produce  $\delta(k-k')$  and  $\delta(k+k')$  factors when the sum is evaluated. Doing this,

$$\begin{aligned}H &= \frac{1}{2} \int_{-\pi}^{\pi} \frac{dk}{(2\pi)2\omega_k^2} \int_{-\pi}^{\pi} \frac{dk'}{(2\pi)2\omega_{k'}^2} \\ &\quad \delta(k-k') \left[ (\omega_k \omega_{k'} + m^2 + (1 - e^{-ik})(1 - e^{ik'})) a_k a_{k'}^\dagger e^{-it(\omega_k - \omega_{k'})} + h.c. \right] \\ &\quad + \delta(k+k') \left[ (-\omega_k \omega_{k'} + m^2 + (1 - e^{-ik})(1 - e^{-ik'})) a_k a_{-k'} e^{-it(\omega_k + \omega_{k'})} + h.c. \right]\end{aligned}$$

Killing one of the integrals and recognizing that  $\omega_k^2 = (1 - e^{-ik})(1 - e^{ik}) + m^2$ , this simplifies to

$$H = \int_{-\pi}^{\pi} \frac{dk}{2\pi} \frac{1}{2} (a_k a_k^\dagger + a_k^\dagger a_k).$$

Now, we normal order using the commutation relation in (c), to yield

$$H = \int_{-\pi}^{\pi} \frac{dk}{2\pi} a_k^\dagger a_k + \Omega_0 V,$$

where  $V = 2\pi\delta(0)$  is the “volume of space” (really, the number of particles in this case), and  $\Omega_0 = \int dk/2\pi \omega_k$  is the zero point energy.

This is the hamiltonian of non-interacting free scalar fields.

(e) Restoring factors of  $a$ , the hamiltonian is

$$H = \frac{1}{2} \sum_n \pi_n^2 + a^{-2} (\varphi_n - \varphi_{n-1})^2 + m^2 \varphi_n^2.$$

In the continuum limit, this becomes (with  $x = na$ )

$$H \rightarrow \frac{1}{2} \int \frac{dx}{a} \pi^2(x) + (\partial_x \varphi(x))^2 + m^2 \varphi^2(x),$$

which is the hamiltonian of a free scalar field. Similarly, the dispersion relation

$$\omega_k^2 = 2(1 - \cos(ka))a^{-2} + m^2 \rightarrow k^2 + m^2$$

reproduces that of the free scalar in the small  $a$  limit. Note also that the Brillouin zone is  $[-\pi/a, \pi/a]$ , so in the continuum limit we recover that  $k$  is allowed to take any value.

3. We are to derive the canonical quantization conditions (3.29) for  $a(\mathbf{k}), a^\dagger(\mathbf{k})$  from that of the fields  $\varphi$  and  $\Pi$  (3.28). With

$$a(\mathbf{k}) = \int d^3x e^{-i\mathbf{k}x} (i\Pi(x) + \omega\varphi(x)), \quad a^\dagger(\mathbf{k}) = \int d^3x e^{i\mathbf{k}x} (-i\Pi(x) + \omega\varphi(x)),$$

we see that

$$\begin{aligned} [a(\mathbf{k}), a(\mathbf{k}')] &= \int d^3x d^3y e^{-i(\mathbf{k}x + \mathbf{k}'y)} (i^2[\Pi(x), \Pi(y)] + \omega_{\mathbf{k}}\omega_{\mathbf{k}'}[\varphi(x), \varphi(y)] + i\omega_{\mathbf{k}'}[\Pi(x), \varphi(y)] + i\omega_{\mathbf{k}}[\varphi(x), \Pi(y)]) \\ &= \int d^3x d^3y e^{-i(\mathbf{k}x + \mathbf{k}'y)} (\omega_{\mathbf{k}'}\delta^3(x - y) - \omega_{\mathbf{k}}\delta^3(x - y)) \\ &= (\omega_{\mathbf{k}'} - \omega_{\mathbf{k}}) \int d^3x e^{-i(\mathbf{k} + \mathbf{k}')x}, \\ &= (\omega_{\mathbf{k}'} - \omega_{\mathbf{k}}) e^{2i\omega t} (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') = 0. \end{aligned}$$

In the second last equality, the identity  $\int d^3x e^{-i\mathbf{k}x} = (2\pi)^3 \delta^3(\mathbf{k})$  is used (ie. the Fourier transform of unity is the delta function), and the last equality follows since  $\omega$  is an even function of  $\mathbf{k}$ . Similarly,  $[a^\dagger(\mathbf{k}), a^\dagger(\mathbf{k}')] = 0$ . Meanwhile,

$$\begin{aligned} [a(\mathbf{k}), a^\dagger(\mathbf{k}')] &= \int d^3x d^3y e^{-i(\mathbf{k}x - \mathbf{k}'y)} ([\Pi(x), \Pi(y)] + \omega_{\mathbf{k}}\omega_{\mathbf{k}'}[\varphi(x), \varphi(y)] + i\omega_{\mathbf{k}'}[\Pi(x), \varphi(y)] - i\omega_{\mathbf{k}}[\varphi(x), \Pi(y)]) \\ &= (\omega_{\mathbf{k}} + \omega_{\mathbf{k}'}) \int d^3x d^3y e^{-i(\mathbf{k}x - \mathbf{k}'y)} \delta^3(x - y) \\ &= (2\omega_{\mathbf{k}}) (2\pi)^3 \delta^3(\mathbf{k} - \mathbf{k}'). \end{aligned}$$

4. We are to show that  $a^\dagger(\mathbf{k}_1)a^\dagger(\mathbf{k}_2)\dots a^\dagger(\mathbf{k}_n)|0\rangle$  is an eigenstate of  $H = \int d^3k/2(2\pi)^3 a^\dagger a$  with energy  $\omega_1 + \dots + \omega_n$ . This is a straightforward calculation, using the commutation relations derived above. We have to move the annihilation operator  $a(\mathbf{k})$  in  $H$  all the way to the right, where it annihilates the vacuum. For example, moving  $a(\mathbf{k})$  past  $a^\dagger(\mathbf{k}_1)$ ,

$$a(\mathbf{k})a^\dagger(\mathbf{k}_1) = [a(\mathbf{k}), a^\dagger(\mathbf{k}_1)] + a^\dagger(\mathbf{k}_1)a(\mathbf{k}) = (2\pi)^3 2\omega_1 \delta^3(\mathbf{k} - \mathbf{k}_1) + a^\dagger(\mathbf{k}_1)a(\mathbf{k}),$$

picks up a factor of  $(2\pi)^3 2\omega_1 \delta^3(\mathbf{k} - \mathbf{k}_1)$ . Moving  $a(\mathbf{k})$  past each of the  $a^\dagger(\mathbf{k}_j)$ , we obtain

$$\begin{aligned} H|k_1 \dots k_n\rangle &= \int \frac{d^3k}{2(2\pi)^3} a^\dagger(\mathbf{k}) \left( \sum_{j=1}^n a^\dagger(\mathbf{k}_1) \dots a^\dagger(\mathbf{k}_{j-1}) (2\pi)^3 2\omega_j \delta^3(\mathbf{k} - \mathbf{k}_j) a^\dagger(\mathbf{k}_{j+1}) \dots a^\dagger(\mathbf{k}_n) |0\rangle \right) \\ &= \sum_{j=1}^n \omega_j |k_1 \dots k_n\rangle. \end{aligned}$$

(Note that we have used the fact that  $a^\dagger$ s commute in the last equality.)

5. (a) Up to boundary terms, we may integrate the kinetic term to write

$$-\int d^4x \partial^\mu \varphi^\dagger \partial_\mu \varphi = \int d^4x \varphi^\dagger \partial^\mu \partial_\mu \varphi,$$

so the Euler-Lagrange variation of  $\varphi^\dagger$  yields the Klein-Gordon equation  $(\partial^\mu \partial_\mu - m^2)\varphi = 0$ .

- (b) The conjugate momenta are

$$\Pi_\varphi(x) = \frac{\partial \mathcal{L}}{\partial \partial_0 \varphi(x)} = \partial_0 \varphi^\dagger(x), \quad \Pi_{\varphi^\dagger}(x) = \partial_0 \varphi(x).$$

The hamiltonian density is

$$\mathcal{H} = \Pi_\varphi \partial_0 \varphi + \Pi_{\varphi^\dagger} \partial_0 \varphi^\dagger - \mathcal{L} = \Pi_{\varphi^\dagger} \Pi_\varphi + \partial^i \varphi^\dagger \partial_i \varphi + m^2 \varphi^\dagger \varphi - \Omega_0.$$

(Note the ordering of the  $\Pi_{\varphi^\dagger} \Pi_\varphi$  term; this will be important in part (e).)

- (c) Inverting the Fourier transform (following (3.20)),

$$\int d^3x e^{-i\mathbf{k}x} \varphi(x) = \frac{1}{2\omega} (a(\mathbf{k}) + e^{2i\omega t} b^\dagger(-\mathbf{k})).$$

(This is because

$$\begin{aligned} \int d^3x e^{-i\mathbf{k}x} \varphi(x) &= \int d^3x e^{-i\mathbf{k}x} \frac{d^3k'}{(2\pi)^3 2\omega_{\mathbf{k}'}} (a(\mathbf{k}') e^{i\mathbf{k}'x} + b^\dagger(\mathbf{k}') e^{-i\mathbf{k}'x}) \\ &= \int \frac{d^3k'}{2\omega_{\mathbf{k}'}} (a(\mathbf{k}') e^{-i(\omega_{\mathbf{k}'} - \omega_{\mathbf{k}})t} \delta^3(\mathbf{k} - \mathbf{k}') + b^\dagger(\mathbf{k}') e^{i(\omega_{\mathbf{k}'} + \omega_{\mathbf{k}})t} \delta^3(\mathbf{k} + \mathbf{k}')). \end{aligned}$$

Similarly,

$$\int d^3x e^{-i\mathbf{k}x} \partial_0 \varphi(x) = \frac{i}{2} (-a(\mathbf{k}) + e^{2i\omega t} b^\dagger(-\mathbf{k})),$$

so that

$$a(\mathbf{k}) = \int d^3x e^{-i\mathbf{k}x} (\omega \varphi(x) + i \partial_0 \varphi(x)) = \int d^3x e^{-i\mathbf{k}x} (\omega \varphi(x) + i \Pi_{\varphi^\dagger}),$$

$$b^\dagger(-\mathbf{k}) = \int d^3x e^{-i\mathbf{k}x - 2i\omega t} (\omega \varphi(x) - i \partial_0 \varphi(x)).$$

To obtain  $b(\mathbf{k})$ , take the conjugate and relabel  $\mathbf{k} \mapsto -\mathbf{k}$  (so  $e^{i\mathbf{k}x + 2i\omega t} = e^{i\omega t + i\mathbf{k}x} \mapsto e^{i\omega t - i\mathbf{k}x} = e^{-i\mathbf{k}x}$ ), yielding

$$b(\mathbf{k}) = \int d^3x e^{-i\mathbf{k}x} (\omega \varphi^\dagger(x) + i \partial_0 \varphi^\dagger(x)) = \int d^3x e^{-i\mathbf{k}x} (\omega \varphi^\dagger(x) + i \Pi_\varphi(x)).$$

- (d) The canonical commutation relations are

$$[\varphi(t, \mathbf{x}), \Pi_\varphi(t, \mathbf{y})] = [\varphi^\dagger(t, \mathbf{x}), \Pi_{\varphi^\dagger}(t, \mathbf{y})] = i\delta^3(\mathbf{x} - \mathbf{y}),$$

with all other commutators vanishing. The nonvanishing commutators between the creation/annihilation operators are

$$\begin{aligned} [a(\mathbf{k}), a^\dagger(\mathbf{k}')] &= \int d^3x d^3y e^{-i(\mathbf{k}x - \mathbf{k}'y)} (-i\omega_{\mathbf{k}} [\varphi(x), \Pi_\varphi(y)] + i\omega_{\mathbf{k}'} [\Pi_{\varphi^\dagger}(x), \varphi^\dagger(y)]) \\ &= 2\omega(2\pi)^3 \delta^3(\mathbf{k} - \mathbf{k}'), \end{aligned}$$

and similarly,

$$[b(\mathbf{k}), b^\dagger(\mathbf{k}')] = 2\omega(2\pi)^3 \delta^3(\mathbf{k} - \mathbf{k}').$$

It is clear that the  $[a, a]$  (and therefore also  $[a^\dagger, a^\dagger]$ ,  $[b, b]$  and  $[b^\dagger, b^\dagger]$ ) commutators vanish, since  $\varphi$  and  $\Pi_{\varphi^\dagger}$  mutually commute. For  $[a, b]$  (and  $[a^\dagger, b^\dagger]$ ), the contributions from  $[\varphi, \Pi_\varphi]$  and  $[\Pi_{\varphi^\dagger}, \varphi^\dagger]$  are equal and opposite.

- (e) We are to rewrite the hamiltonian  $H = \int d^3x \mathcal{H}$  in terms of the creation and annihilation operators, by substituting the mode expansions (c) into the expression for the hamiltonian density we obtained in (b). Expanding out the terms yields

$$\begin{aligned} H = \int d^3x & \frac{d^3k}{(2\pi)^3 2\omega_{\mathbf{k}}} \frac{d^3k'}{(2\pi)^3 2\omega_{\mathbf{k}'}} \\ & \left( \omega_{\mathbf{k}} \omega_{\mathbf{k}'} (-a(k)a^\dagger(k')e^{-i(k'-k)x} + b^\dagger(k)b(k')e^{i(k'-k)x} - a(k)b(k')e^{i(k'+k)x} + b^\dagger(k)a^\dagger(k')e^{-i(k+k')x}) \right. \\ & + (\mathbf{k}\mathbf{k}' + m^2)(a^\dagger(k)a(k')e^{i(k'-k)x} + b(k)b^\dagger(k')e^{-i(k'-k)x}) \\ & \left. + (-\mathbf{k}\mathbf{k}' + m^2)(b(k)a(k')e^{i(k'+k)x} - a^\dagger(k)b^\dagger(k')e^{-i(k+k')x}) \right) - \Omega_0 V \end{aligned}$$

The  $dx$  integral may be performed, yielding either  $\delta^3(\mathbf{k} - \mathbf{k}')$  or  $\delta^3(\mathbf{k} + \mathbf{k}')$  for each term, which then cancels one of the  $dk$  integrals. After simplification, one arrives at

$$\begin{aligned} H = \frac{1}{2} \int \frac{d^3k}{2(2\pi)^3} & (a(k)a^\dagger(k) + b^\dagger(k)b(k) - a(k)b(-k)e^{-2i\omega t} - b^\dagger(k)a^\dagger(-k)e^{2i\omega t}) \\ & + \frac{1}{2}(k^2 + m^2) \int \frac{d^3k}{(2\pi)^3 2\omega^2} (a^\dagger(k)a(k) + b(k)b^\dagger(k) + b(k)a(-k)e^{-2i\omega t} + a^\dagger(k)b^\dagger(-k)e^{2i\omega t}) - \Omega_0 V. \end{aligned}$$

Now, we use the commutation relations derived in (d). First, we consider the terms involving  $ab$  and  $a^\dagger b^\dagger$ . Commuting  $b$  past  $a$  (and  $a^\dagger$  past  $b^\dagger$ ), and relabeling  $k \rightarrow -k$ , we see that they add to

$$\int \frac{d^3k}{(2\pi)^3 (2\omega)^2} (-\omega^2 + k^2 + m^2) (a(k)b(-k)e^{-2i\omega t} + a^\dagger(k)b^\dagger(-k)e^{2i\omega t}) = 0,$$

which vanishes due to the mass shell relation. Meanwhile, for the other terms, we use the commutation relations to write all the terms in the order  $a^\dagger a$  and  $b^\dagger b$  (which annihilates the ground state). This yields

$$\begin{aligned} H = \int \frac{d^3k}{(2\pi)^3 (2\omega)^2} & \left( (\omega^2 + k^2 + m^2)(2\omega)\delta^3(0) + (\omega^2 + k^2 + m^2)(a^\dagger(k)a(k) + b^\dagger(k)b(k)) \right) - \Omega_0 V \\ = \int \frac{d^3k}{(2\pi)^3 2\omega} & \omega (a^\dagger(k)a(k) + b^\dagger(k)b(k)) + 2\mathcal{E}_0 V - \Omega_0 V, \end{aligned}$$

so  $\Omega_0 = 2\mathcal{E}_0$  for zero ground state energy. Therefore, as expected, a complex scalar field has two sets of oscillators,  $a(k)$  and  $b(k)$ , as opposed to real scalar fields which have just one. Both sets of oscillators contribute to the zero point energy.

6. This is a straightforward evaluation of the integral

$$\mathcal{E}_0 = \int_{|k| < \Lambda} \frac{d^3k}{(2\pi)^3 2\omega} \omega^2 = \frac{1}{2(2\pi)^3} \int_{|k| < \Lambda} d^3k \sqrt{k^2 + m^2} = \frac{\Lambda^4}{2(2\pi)^3} \int_{|k'| < 1} d^3k' \sqrt{k'^2 + m^2/\Lambda^2},$$

where in the last equality we have rescaled  $k' = k/\Lambda$ . For  $m/\Lambda \ll 1$ , the integrand may be approximated by  $|k'|$ , so

$$\mathcal{E}_0 = \frac{\Lambda^4}{2(2\pi)^3} \int_0^1 d|k| 4\pi |k|^3 = \frac{\Lambda^4}{16\pi^2}.$$